

Draft
Air Toxic Control Measure (ATCM)
For Portable Diesel-Fueled Engines
11/7/03

Please Note: This draft regulation is an Air Resources Board (ARB) staff proposal. Portions of this regulation may be subject to change, including compliance dates and requirements. ARB staff is soliciting comments on the draft regulation and based upon comments received and further staff analysis, parts or all of the draft regulation may change.

93105.1 PURPOSE

The purpose of this airborne toxic control measure (ATCM) is to reduce diesel particulate matter (PM) emissions from portable diesel-fueled engines having a rated brake horsepower greater than 50 (> 50 bhp).

Authority cited: Sections 39600, 39601, 39650, 39658, 39659, 39666, 41752, 43013 and 43018 Health and Safety Code. Reference: Sections 39650, 39666, 41752 Health and Safety Code.

93105.2 APPLICABILITY

- (1) Except as provided below, all applicable portable engines having a maximum rated capacity greater than 50 bhp and fueled with diesel are subject to this regulation.
- (2) The following are not subject to this regulation:
 - (a) Any engine used to propel mobile equipment or a motor vehicle of any kind;
 - (b) Any portable engine using an alternative fuel;
 - (c) Any engine not meeting the definition of portable as defined in 93105.3, Definitions, of this regulation;
 - (d) Military tactical support equipment;
 - (e) Diesel pile-driving hammers;

- (f) Ground support equipment at airports whose diesel PM emissions are regulated by an enforceable Memorandum of Understanding (MOU) with the local air district or Air Resources Board; and
- (g) Portable engines operated on either San Clemente or San Nicolas Island.

Authority cited: Sections 39600, 39601, 39650, 39658, 39659, 39666, 41752, 43013 and 43018 Health and Safety Code. Reference: Sections 39650, 39666, 41752 Health and Safety Code.

93105.3 DEFINITIONS

- (1) **Air Pollution Control Officer** means the Executive Officer or director of a district, or his/her delegate.
- (2) **Alternative fuel** means gasoline, natural gas, propane, ethanol, or methanol.
- (3) **Alternative Diesel Fuel** means any fuel used in a compression ignition (CI) engine that is not a reformulated CARB diesel fuel as defined in Title 13 CCR Sections 2281, 2282, and 2284 or an alternative fuel, and does not require engine or fuel system modifications for the engine to operate, although minor modifications (e.g., recalibration of the engine fuel control) may enhance performance. An emission control strategy using a fuel additive will be treated as an alternative diesel fuel based strategy unless:
 - (A) the additive is supplied to the engine fuel by an on-board dosing mechanism, or
 - (B) the additive is directly mixed into the base fuel inside the fuel tank of the engine, or
 - (C) the additive and base fuel are not mixed until engine fueling commences, and no more additive plus base fuel combination is mixed than required for a single fueling of a single engine.
- (4) **CARB Diesel Fuel** means any diesel fuel that meets the specifications defined in *Title 13 CCR sections 2281, 2282, and 2284*.
- (5) **Certified Nonroad Engine** refers to engines manufactured to satisfy an applicable nonroad engine emission standard as set forth in Title 13 of the California Code of Regulations or CFR 40 Part 89.

- (6) **Construction Equipment** means equipment that uses an engine and that is primarily used (as defined below) in construction and operated at commercial construction sites.
- (7) **Diesel Particulate Matter (PM)** means the particles found in the exhaust of diesel-fueled CI engines which may agglomerate and adsorb other species to form structures of complex physical and chemical properties.
- (8) **District** means an air pollution control district or air quality management district created or continued in existence pursuant to provisions of Part 3 (commencing with section 40000) of the California Health and Safety Code.
- (9) **Emergency** refers to the failure or loss of all or part of normal electrical power or mechanical work during any of the following event and subject to the following conditions:
- (A) the failure or loss of all or part of normal electrical power service or normal natural gas supply to the facility which is demonstrated by the owner or operator to the Executive Officer or APCO's satisfaction to have been beyond the reasonable control of the owner; or
 - (B) the pumping of water or sewage to prevent or mitigate a flood or sewage overflow; or
 - (C) the pumping of water for fire suppression or protection.
- (10) **Engine** means any piston driven internal combustion engine.
- (11) **Executive Officer** means the Executive Officer of the California Air Resources Board or his / her designee.
- (12) **Farm Equipment** means equipment that uses an engine and that is primarily used (as defined below) in the commercial production and or commercial harvesting of crops and livestock, fiber, wood, or commercial organic products or in the processing of such products for further use on a farm.
- (13) **Fleet** refers to an engine or group of engines that are owned and managed by an individual operational entity, such as a business, business unit within a corporation, or individual city or state department under the control of a Responsible Official. Engines that are owned by different business entities which are under the common control of a Responsible Official shall be treated as a single fleet.

- (14) **Fuel Additive** means any substance designed to be added to fuel or fuel systems or other engine-related systems such that it is present in-cylinder during combustion and has any of the following effects: decreased emissions, improved fuel economy, increased performance of the entire vehicle or one of its component parts, or any combination thereof; or assists diesel emission control strategies in decreasing emissions, or improving fuel economy or increasing performance of a vehicle or component part, or any combination thereof. Fuel additives used in conjunction with diesel fuel may be treated as an alternative diesel fuel.
- (15) **In-Use Engine** refers to portable diesel-fueled engines operating under valid permits or registrations as of December 31st, 2007.
- (16) **Level-3 Verified Technology** means a technology that has satisfied the requirements of the "Verification Procedure for In-Use Strategies to Control Emissions from Diesel Engines" in Title 13, California Code of Regulations, commencing with section 2700 and has demonstrated an reduction in diesel particulate matter of 85% or greater.
- (17) **Location** means any single site at a building, structure, facility, or installation.
- (18) **Low-use Engines** refers to portable diesel-fueled engines that operate 50 hours or less in a calendar year.
- (19) **Maximum Rated Horsepower (brake horsepower (bhp))** is the maximum brake horsepower rating specified by the portable engine manufacturer for continuous duty and listed on the nameplate of the portable engine.
- (20) **New Nonroad Engine** means a domestic or imported nonroad engine, the equitable or legal title to which has never been transferred to an ultimate purchaser. If the equitable or legal title to an engine is not transferred to an ultimate purchaser until after the engine is placed into service, then the engine will no longer be new after it is placed into service. A nonroad engine is placed into service when it is used for its functional purposes. The term ultimate purchaser means, with respect to a new nonroad engine, the first person who in good faith purchases a new nonroad vehicle or a new nonroad engine for purposes other than resale.
- (21) **Nonroad Engine** means:
- (A) Except as discussed in paragraph (B) of this definition, a nonroad engine is any engine:

- (1) in or on a piece of equipment that is self-propelled or serves a dual purpose by both propelling itself and performing another function (such as garden tractors, off-highway mobile cranes and bulldozers); or
- (2) in or on a piece of equipment that is intended to be propelled while performing its function (such as lawnmowers and string trimmers); or
- (3) that, by itself or in or on a piece of equipment, is portable or transportable, meaning designed to be and capable of being carried or moved from one location to another. Indicia of transportability include, but are not limited to, wheels, skids, carrying handles, dolly, trailer, or platform.

(B) An engine is not a nonroad engine if:

- (1) the engine is used to propel a motor vehicle or a vehicle used solely for competition, or is subject to standards promulgated under section 202 of the federal Clean Air Act; or
- (2) the engine is regulated by a federal New Source Performance Standard promulgated under section 111 of the federal Clean Air Act; or
- (3) the engine otherwise included in paragraph (A)(3) of this definition remains or will remain at a location for more than 12 consecutive months or a shorter period of time for an engine located at a seasonal source. Any engine(s) that replace(s) an engine at a location and that is intended to perform the same or similar function as the engine replaced will be included in calculating the consecutive time period. An engine located at a seasonal source is an engine that remains at a seasonal source during the full annual operating period of the seasonal source. A seasonal source is a stationary source that remains in a single location on a permanent basis (at least two years) and that operates at that single location approximately three (or more) months each year.

(22) Off-Road Engine means the same as nonroad engine.

(23) Outer Continental Shelf (OCS) shall have the meaning provided by section 2 of the Outer Continental Shelf Lands Act (43 U.S.C. Section 1331 et seq.).

(24) **Primarily Used** is a determination by U.S. EPA under section 209 (e) of the federal Clean Air Act, that 51 percent or more of a specific type of engine or equipment, with an engine under 175 maximum brake horsepower rating, is used in the farm and construction industries.

(25) **Permit** refers to a certificate issued by the Air Pollution Control Officer acknowledging expected compliance with the applicable requirements of the districts rules and regulations.

(26) **Portable** means designed and capable of being carried or moved from one location to another. Indicia of portability include, but are not limited to, wheels, skids, carrying handles, dolly, trailer, or platform. For the purposes of this regulation, dredge engines on a boat or barge are considered portable. The engine is not portable if any of the following are true:

- (A) the engine or its replacement is attached to a foundation, or if not so attached, will reside at the same location for more than 12 consecutive months. Any engine such as back-up or stand-by engines, that replace engine(s) at a location, and is intended to perform the same or similar function as the engine(s) being replaced, will be included in calculating the consecutive time period. In that case, the cumulative time of all engine(s) or, including the time between the removal of the original engine(s) and installation of the replacement engine(s), will be counted toward the consecutive time period; or
- (B) the engine remains or will reside at a location for less than 12 consecutive months if the engine is located at a seasonal source and operates during the full annual operating period of the seasonal source, where a seasonal source is a stationary source that remains in a single location on a permanent basis (at least two years) and that operates at that single location at least three months each year; or
- (C) the engine is moved from one location to another in an attempt to circumvent the portable residence time requirements.

[Note: The period during which the engine is maintained at a storage facility shall be excluded from the residency time determination.]

(27) **Project**, means the use of one or more registered or permitted portable engines or equipment units operated at one location under the same or common ownership or control to perform a single activity.

(28) Registration refers to either:

- (A) a certificate issued by the Executive Officer acknowledging expected compliance with the applicable requirements of the Statewide Portable Equipment Registration Program; or
- (B) a certificate issued by the Air Pollution Control Officer acknowledging expected compliance with the applicable requirements of the district's Portable Equipment Registration Program.

(29) Responsible Official refers to an individual employed by the company or public agency with the authority to certify that the portable engines under his/her jurisdiction complies with applicable requirements of this regulation. A company or public agency may have more than one Responsible Official. A contracted designee cannot certify compliance in lieu of the responsible official.

(30) School means any public or private school used for purposes of the education of more than 12 children in kindergarten or any grade 1 to 12, inclusive, but does not include any private school in which education is primarily conducted in private homes. The term includes any building or structure, playground, athletic field, or other area of school property. The term excludes unimproved school property.

(31) Selective Catalytic Reduction (SCR) System refers to an air pollution control system which utilizes a proprietary base metal catalyst designed to reduce emissions of oxides of nitrogen (NO_x).

(32) Stationary Source means any building, structure, facility or installation which emits any affected pollutant directly or as a fugitive emission. Building, structure, facility, or installation includes all pollutant emitting activities which:

- (A) are under the same ownership or operation, or which are owned or operated by entities which are under common control; and
- (B) belong to the same industrial grouping either by virtue of falling within the same two-digit standard industrial classification code or by virtue of being part of a common industrial process, manufacturing process, or connected process involving a common raw material; and
- (C) are located on one or more contiguous or adjacent properties.

[Note: For the purposes of this regulation a stationary source and nonroad engine are mutually exclusive.]

- (33) **Storage** means a warehouse, enclosed yard, or other area established for the primary purpose of maintaining portable engines when not in operation.
- (34) **Tactical Support Equipment (TSE)** means equipment using a portable engine, including turbines, that meets military specifications, owned by the U.S. Department of Defense and/or the U.S. military services or its allies, and used in combat, combat support, combat service support, tactical or relief operations, or training for such operations. Examples include, but are not limited to, engines associated with portable generators, aircraft start carts, heaters and lighting carts.
- (35) **Tier 4 Emission Standards** refers to the final emission standards adopted by the U.S. EPA and CARB for newly manufactured nonroad engines designed to achieve the lowest diesel PM emissions.
- (36) **Transportable** means the same as portable.
- (37) **Verified Emission Control Strategy** refers to a diesel emission control strategy or system that has received approval from the Executive Officer according to the "Verification Procedure for In-Use Strategies to Control Emissions from Diesel Engines" in Title 13, California Code of Regulations, commencing with section 2700, and incorporated by reference.
- (38) **U.S. EPA** refers to the United States Environmental Protection Agency.

Authority cited: Sections 39600, 39601, 39650, 39658, 39659, 39666, 41752, 43013 and 43018 Health and Safety Code. Reference: Sections 39650, 39666, 41752 Health and Safety Code.

93105.4 REQUIREMENTS

- (1) Diesel-fueled portable engines can only use the following fuels:
- (A) CARB diesel fuel; or
 - (B) alternative diesel fuel that have been verified through the Verification Procedure for In-Use Strategies to Control Emissions from Diesel Engines; or
 - (C) CARB diesel fuel utilizing fuel additives that have been verified through the Verification Procedure for In-Use Strategies to Control Emissions from Diesel Engines.

[Note that credit for diesel PM reductions for CARB diesel fuel blends that use biodiesel, Fischer Tropsch fuels, or emulsions of water in diesel fuel is available only for fuel blends that have satisfied the requirements of the Verification Procedure for In-Use Strategies to Control Emissions from Diesel Engines. The credit granted is based upon the verified level approved by the Executive Officer within the Executive Order for the fuel blend.]

(2) Diesel PM Standards

(A) Requirements for in-use engines

- (1) After January 1st, 2010, all portable diesel-fueled engines shall be certified to meet a federal or California standard for newly manufactured nonroad engines pursuant to 40 CFR Part 89 or Title 13 of the California Code of Regulations. In lieu of the 2010 requirement, owners of engines used exclusively in emergency applications or engines that qualify as a low-use engines may commit to replacing these engines with Tier 4 engines, subject to the requirements in (2)(D) below.
- (2) After January 1st, 2013 all portable diesel-fueled engines are subject to (3) of this section, Fleet Requirements.

(B) Requirements for portable diesel-fueled engines that are initially permitted or registered after January 1st, 2008

Portable diesel-fueled engines are subject to the following requirements:

- (1) the portable engine shall meet the most stringent federal or California emission standard for nonroad engines pursuant to 40 CFR Part 89 or Title 13 of the California Code of Regulations; and
- (2) a diesel-fueled portable engine used exclusively for emergency applications or low-use engine designation are subject to the requirements of (2)(C); and
- (3) for new applications to permit or register engines after January 1st, 2013, the portable engine is subject to (3), Fleet Requirements.

- (C) Except as provided in (D), portable diesel-fueled engines used exclusively in emergency applications or qualify as low-use engines shall satisfy one of the following requirements by January 1st, 2020:
- (1) the engine is certified to Tier 4 emission standards for newly manufactured nonroad engines; or
 - (2) the engine is equipped with a properly functioning level-3 verified emission control system.
- (D) For owners that commit toward replacing in-use engines with engines certified to the Tier 4 nonroad engine standards in lieu of satisfying the 2010 requirement, the following shall be satisfied:
- (1) the owner submits written notification that specific engines are to be replaced with engines certified to the Tier 4 emission standards for newly manufactured nonroad engines; and
 - (2) for each class and category of nonroad engine, the replacement shall occur within two years of the first engine being offered for sale which satisfies the Tier 4 emission standards.

(3) Fleet Requirements

- (A) Each fleet is subject to and shall comply with the following weighted PM emission fleet averages (expressed as g/bhp-hr) by the listed compliance dates:

Fleet Standard Compliance Date	Engines <175 hp (g/bhp-hr)	Engines ≥175 to 749 hp (g/bhp-hr)	Engines ≥750 hp (g/bhp-hr)
1/1/13	0.3	0.15	0.25
1/1/17	0.18	0.08	0.08
1/1/20	0.04	0.02	0.02

- (B) For the purposes of this regulation, the fleet will include all diesel-fueled portable engines of a company operated in California, including portable engines registered with the Statewide Portable Equipment Registration Program or permitted or registered with local districts. The California fleet will be further divided into engines rated at less than 175 horsepower, engines rated at 175 horsepower up to 749 horsepower, and engines that are 750 horsepower and larger. Each portion of the fleet would be subject to the above fleet emission standards.

- (C) The following revisions to a company's fleet is allowed:
- (1) Portable diesel-fueled engines operated exclusively outside of California or operated only within the OCS can be excluded from a company's fleet.
 - (2) Portable alternative-fueled engines can be included in a company's fleet if the engine satisfies the requirements in (4)(B)(2), Fleet Average Calculations section.
 - (3) Portable diesel-fueled engines used exclusively in emergency applications are excluded from a company's fleet. However, the engines become immediately subject to the fleet requirements if the engines are used in non-emergency applications.
 - (4) Portable diesel-fueled engines that qualify as a low-use engines are excluded from a company's fleet. However, the engines become immediately subject to the fleet requirements if the engines exceed the allowable annual hours of operation.
 - (5) Portable diesel-fueled engines equipped with properly operating SCR systems may be excluded from the company's fleet.
- (D) Beginning on January 1, 2013, the weighted average PM emission rate for the fleet cannot exceed the fleet standard that is in effect. Changes in the fleet, including engine additions and deletions, shall not result in noncompliance with this standard.
- (E) Diesel-fueled portable engines equipped with SCR systems
- (1) The diesel PM fleet emission standards in (3)(A) of this section does not apply to diesel-fueled portable engines equipped with properly operating SCR systems as of January 1st, 2004. After January 1st, 2004, the Executive Officer may exempt, on a case-by-case basis, portable engines equipped with SCR systems from the fleet emission standards.
 - (2) At the request of the owner of diesel-fueled portable engine(s) equipped with a SCR system(s), the engine(s) can be included in the company's fleet for the purpose of complying with an applicable fleet emission standard. Once the engine(s) are included in a company's fleet, the company's compliance with applicable fleet emission standards shall always include these diesel-fueled portable engine(s) equipped with SCR system(s).

- (3) For all diesel-fueled portable engines equipped with SCR systems, the following information shall be submitted to the Executive Officer to demonstrate that the SCR system is operating properly:

(a) tests results for NOx, PM, and ammonia slip.

- (1) the measurements shall be conducted with ARB or district approved test methods; and
- (2) diesel PM shall be measured with ARB test method 5 or equivalent district approved test method. For the purposes of this requirement, only the probe catch and filter catch ("front half") is used to determine the emission rate, g/bhp-hr, and shall not include PM captured in the impinger catch or solvent extract; and
- (3) the duration of the emission test shall be sufficient to document the typical operation of the engine(s); and
- (4) testing shall be performed at the frequency required by the permit or registration. In no event shall the time between emission tests exceed three years.

- (F) The fleet averages can be satisfied with implementation of, but not limited to, the following options: engine replacement, engine repower, use of a verified alternative diesel fuel, use of a verified fuel additive, addition of a verified control system, replacement of a diesel engine with an engine using an alternative fuel, or electrification. The weighted fleet PM emission average is determined by following the procedure given in (4), Fleet Average Calculations section.

(4) Fleet Average Calculations

(A) General Provisions

- (1) The average PM emission factor for the fleet is determined by the following formula:

$$\frac{\sum \text{Summation for each engine in the fleet (bhp x emission factor)}}{\sum \text{Summation for each engine in the fleet (bhp)}}$$

where:

bhp = horsepower at maximum rated capacity.

emission factor = diesel PM emission rate, as determined below:

- (2) The following diesel PM emission rates can be used with the above formula to determine the weighted average fleet emission rate:
 - (a) for diesel-fueled portable engines certified to a nonroad engine standard, the results of emission measurements submitted to either the U.S. EPA or CARB for the purposes of satisfying the appropriate emission standard; or
 - (b) results from emission measurements from a verification approved by the Executive Officer for an emission control system or strategy can be used in conjunction with engine emission information; or
 - (c) for diesel-fueled portable engine(s) equipped with SCR system(s), results from valid emission tests.
- (B) The following incentives can be used to revise the fleet average, as outlined below:
 - (1) Where equipment uses grid power for more than 200 hours in lieu of operating a diesel engine for a given project, the time period grid power is used can be used to reduce each affected engine's emission factor. The emission factor for each affected engine will be reduced proportionally by the percentage of time the equipment uses grid power. To receive credit for grid power in the fleet calculation, the recordkeeping and reporting requirements in Section 93105.5 shall be satisfied.
 - (2) Alternative fueled portable engines operating 100 or more hours can be included toward determining compliance with the applicable fleet emission standards. These engines can use an emission rate of zero in the fleet calculations.
 - (3) Tier 4 engines added to a fleet prior to January 1st, 2015 can be counted twice in the company's fleet average determination toward compliance with the 2013 and 2017 fleet emission standards.

- (5) The following requirements apply to the use of diesel-fueled portable engines operating near a school:
- (A) Except for emergencies, district authorization is required for a project using diesel-fueled engines that operate within 300 feet of school property and operates for more than 5 consecutive days.
 - (B) After January 1st, 2006, all engines operating within 300 feet of a school shall be certified to an emission standard for newly manufactured nonroad engines.
 - (C) After January 1st, 2008, all engines operating within 300 feet of a school shall be certified to a Tier 2 or more stringent emission standard for newly manufactured nonroad engines or be equipped with a level-3 verified emission control device or technology.
 - (D) After January 1st, 2015, all engines operating within 300 feet of a school shall be certified to a Tier 4 emission standard for newly manufactured nonroad engines or be equipped with a level-3 verified emission control device or technology.
- (6) Districts may require additional operational restrictions through district permits or other means deemed enforceable by the district for engines registered with the Statewide Portable Equipment Registration Program at a specific location if operation of the engines at that location has resulted in a public nuisance, as defined in Health and Safety Code Section 41700.

Authority cited: Sections 39600, 39601, 39650, 39658, 39659, 39666, 41752, 43013 and 43018 Health and Safety Code. Reference: Sections 39650, 39666, 41752 Health and Safety Code.

93105.5 RECORDKEEPING AND REPORTING

- (1) A fleet is not subject to the requirements of this section if **all** the portable diesel-fueled engines of the fleet satisfy either one of the following requirements:
- (A) the engine is certified to Tier 4 emission standards for newly manufactured nonroad engines; or
 - (B) the engine is equipped with a properly functioning level-3 verified emission control system.

Fleets that include diesel-fueled portable engine(s) equipped with properly operating SCR system(s), as of January 1st, 2004, can exclude these engines from the above determination.

(2) The following recordkeeping requirements become effective 1/1/12:

(A) Recordkeeping shall be required for:

- (1) alternative-fueled portable engines used as part of a company's fleet average; and
- (2) engines affected by the use of electrification; and
- (3) low-use engines; and
- (4) engines used exclusively in emergency applications.

(B) For all diesel-fueled portable engines subject to recordkeeping, the following requirements shall be satisfied:

- (1) the period for reporting shall be a calendar year (January 1st through December 31st); and
- (2) each portable engine subject to recordkeeping requirements shall be equipped with an operational and properly maintained non-resettable hour-meter; and
- (3) total hours of operation shall be maintained on an annual basis. If the engine is used out-of-state, then the records may account for operation within California only, excluding operation within the OCS; and
- (4) records shall be maintained at a central place of business for five years. The records shall clearly identify each engine subject to the recordkeeping requirement as well as the annual hours of operation. These records are to be made available, upon request for inspection, to local air pollution control district or CARB personnel. The requested records shall be provided to the appropriate personnel within three business days of the request.

(C) The follow requirements shall be satisfied for electrification to be used in determining the fleet average:

- (1) the owner/operator shall give notification to the Executive Officer identifying the dates, location, duration of the project, and a description of the project that will rely on electrification instead of using diesel engines. The notification shall be provided prior to the start of the project; and
 - (2) identify each affected engine, including: make, model, serial number, year of manufacture for each engine, emission factor (g/bhp-hr) and district permit or State registration number; and
 - (3) the records for each affected engine shall clearly identify the electrification activity, including indicating the amount of electricity used and the time period for the project; and
 - (4) the owner/operator shall retain copies of contracts or other documentation, with the project proponent and/or applicable utility, supporting the use of grid power.
- (D) Tests results for SCR compliance shall be maintained at a central place of business for five years. At the request of ARB or district personnel, the owner or operator shall have 3 business days to comply with the request.
- (E) For diesel-fueled engines that are operated within 300 feet of a school, the owner or operator shall maintain daily records that shall include the following:
 - (1) name of school; and
 - (2) operating location of portable diesel-fueled engine; and
 - (3) dates of operation, including the starting date and ending date of the project; and
 - (4) purpose of operation.
- (3) The responsible official of the fleet shall provide the following reports as identified below to the Executive Officer:
 - (A) A status report, due to the Executive Officer by March 1, 2011, that includes the following items:
 - (1) the fleet's weighted average PM emission rate for the 2010 calendar year, including a summary for each engine that is part of the fleet and each engine's emission rate (g/bhp-hr); and
 - (2) inventory of portable engines in the fleet identifying whether the engine is state-registered or permitted with the district. The inventory shall identify the make, model, serial number, year of

manufacture, and primary fuel type for each engine, emission factor (g/bhp-hr), and district permit or State registration number for each engine to be used in the fleet average determination; and

- (3) identify, if applicable, each engine that the owner commits to replacing with a Tier 4 engine, including: make, model, serial number, year of manufacture for each engine, and district permit or State registration number; and
 - (4) listing of engines used exclusively in emergency applications. The listing shall identify each engine claiming use only in emergency applications, including: make, model, serial number, year of manufacture for each engine, emission factor (g/bhp-hr), and district permit or State registration number; and
 - (5) listing of engines satisfying the low use engine requirements. The listing shall identify each engine, including: make, model, serial number, year of manufacture for each engine, emission factor (g/bhp-hr), and district permit or State registration number; and
 - (6) for engine(s) equipped with SCR(s), documentation demonstrating that the SCR system is operating properly.
- (B) A statement of compliance signed by the responsible official that the fleet standards are being achieved and a summary that identifies each portable engine in the fleet and the associated emission rate (g/bhp-hr). Engines included in the fleet are those that are part of the fleet at the time the fleet standard became effective. The engine identification shall include, at a minimum, the make, model, serial number, and year of manufacture for each engine. Alternative-fueled engines should be identified by fuel type. The statements of compliance are due to the Executive Officer by the following dates:
- (1) 3/1/13 for the fleet standards that become effective 1/1/13; and
 - (2) 3/1/17 for the fleet standards that become effective 1/1/17; and
 - (3) 3/1/20 for the fleet standards that become effective 1/1/20.
- (C) The responsible official shall identify to the Executive Officer, as part of each compliance report, the specific engines, if any, used exclusively in emergency applications and the specific engines, if any, claimed to be low use engines. The list shall include for each engine: the make, model, serial number, year of manufacture for

each engine, emission factor (g/bhp-hr), and district permit or State registration number.

- (D) The responsible official shall identify to the Executive Officer, as part of each compliance report, the specific engines, if any, excluded from the fleet because the engine operated exclusively outside of California or operated only within the OCS. The list shall include for each engine: the make, model, serial number, year of manufacture, and, district permit or State registration number for each engine.
- (E) If compliance with the fleet average includes the use of electrification, then the responsible official shall provide documentation supporting the credit claimed for electrification.
- (F) As part of each compliance report, the Responsible Official shall, if applicable, certify the following:
 - (1) All alternative fueled engines included in the fleet average operated at least 100 hours during the previous 12 months prior to the fleet emission standard becoming effective.
 - (2) For all engines using the emergency designation, the engines were used only for emergency applications.
 - (3) For all engines using the low-use designation, the engines operated no more than 50 hours for the reporting period.
 - (4) For all engines equipped with SCR, the engine complies with applicable district or Statewide Portable Equipment Registration Program requirements.
- (G) After March 1st, 2013, the APCO or the Executive Officer can require the submittal of information demonstrating compliance with the applicable fleet standard. Upon receiving the request, the owner/operator shall provide the requested information within 30 days.

Authority cited: Sections 39600, 39601, 39650, 39658, 39659, 39666, 41752, 43013 and 43018 Health and Safety Code. Reference: Sections 39650, 39666, 41752 Health and Safety Code.

93105.6 ENFORCEMENT OF FLEET REQUIREMENTS

- (1) Both the Executive Officer and the APCO have the authority to review or seek enforcement action for violation of the fleet emission standard.
- (2) The ARB will make available to the districts the information owners/operators have provided to ARB to demonstrate compliance with the fleet standard.

Authority cited: Sections 39600, 39601, 39650, 39658, 39659, 39666, 41752, 43013 and 43018 Health and Safety Code. Reference: Sections 39650, 39666, 41752 Health and Safety Code.